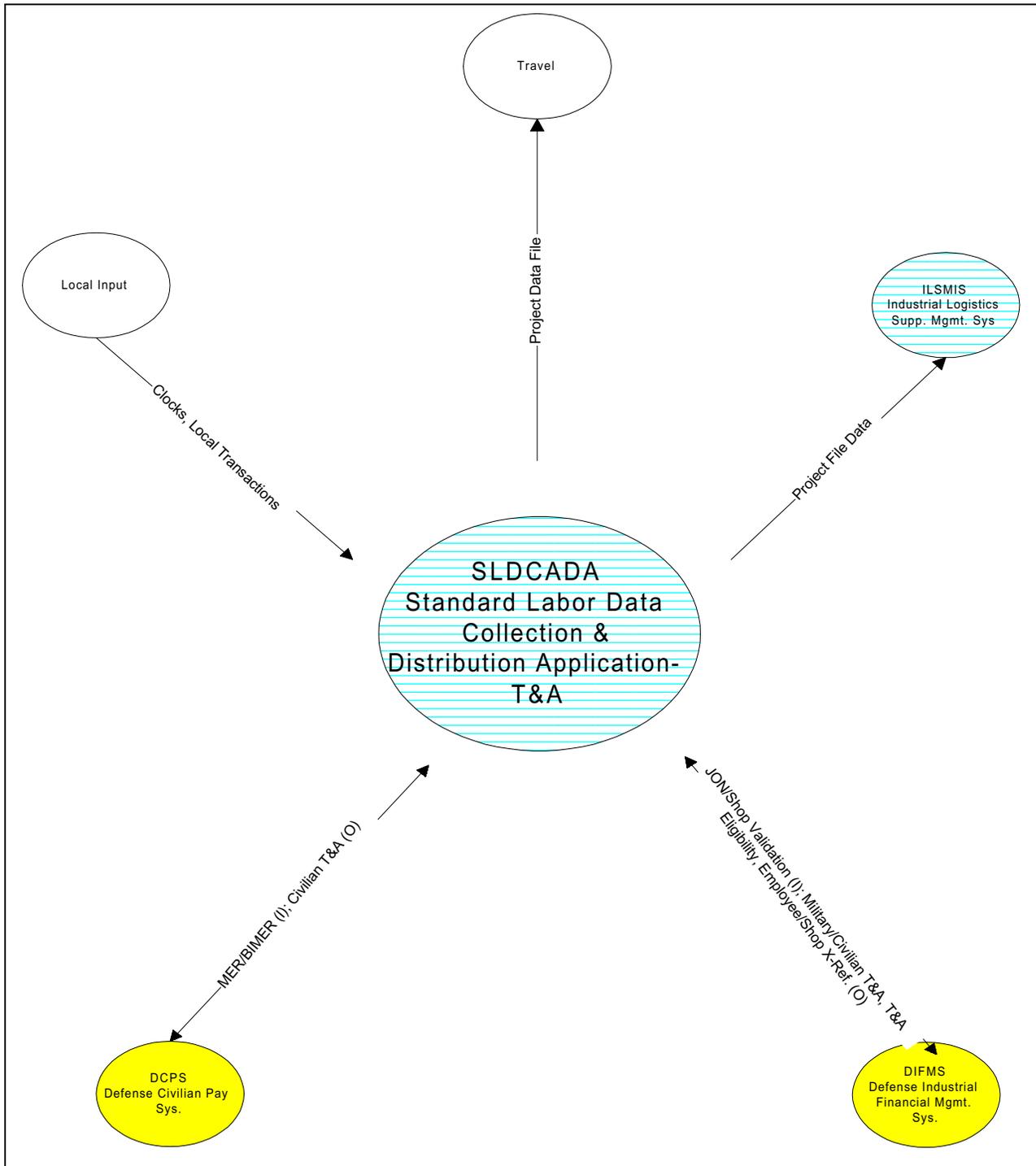


U.S. Navy

Personnel Function

U.S. Navy Standard Labor Collection and Distribution Application (SLDCADA)

Description	The Standard Labor Collection and Distribution Application (SLDCADA), as submitted by the NAVSEA Logistics Center, collects time and attendance data and forwards that data to the Defense Civilian Pay System (DCPS). The SLDCADA also interfaces with the Defense Industrial Financial Management System (DIFMS), Naval Ordnance Management Information System (NOMIS), and other local financial systems both working capital fund and general fund. The SLDCADA is a client-server application operating in an open system environment utilizing graphical user interface. The SLDCADA processes military, contractor, and civilian labor.
Office of Primary Responsibility and Program Manager	<p>The SLDCADA office of primary responsibility and responsible manager are:</p> <p>NAVSEA Logistics Center Detlant Yorktown <u>Program Manager</u> Frauke Tuthill</p> <p>DSN: 953-4730 Comm: (757) 887-4730 Fax: 953-4162 E-mail: Tuthillfk@ssg.navy.mil</p>
System Compliance Status	<p>The SLDCADA was determined substantially compliant in July 1998 by the following methods:</p> <ul style="list-style-type: none"> • management knowledge gained from the daily operation of agency programs and systems • program evaluations
Compliance Validation Date and Method	The target date for the SLDCADA compliance validation is December 1999 by an independent audit group.
System Interfaces	As shown in Graph IV.4, the SLDCADA interfaces with two DFAS migratory finance and accounting systems, the Defense Civilian Pay System (DCPS) and the Defense Industrial Financial Management System (DIFMS). The SLDCADA interfaces with one critical feeder system, the Industrial Logistics Supply Management System (ILSMIS).



Graph IV-4: Standard Labor Data Collection and Distribution Application

U.S. Navy
Cost Management Function

U.S. Navy Normalization of Data System (NORM)

Description The Normalization of Data System (NORM) provides project planning, cost estimating, relative risk ranking, predicting future requirements, and reporting to higher authority on environmental cleanup work.

Office of Primary Responsibility and Program Manager The NORM office of primary responsibility and responsible manager are:

NAVFACENGCOMHQ

Program Manager

Martha Midgette

DSN: 221-9328

Comm: (202) 685-9328

Fax: (202) 685-1670

E-mail: midgettemm@navfac.navy.mil

System Compliance Status The NORM was determined substantially compliant in September 1998 by the following methods:

- management knowledge gained from the daily operation of agency programs and systems
 - review of system OMB A-127 requirements
 - review of FAR regulations
-

Compliance Validation Date and Method The date for the NORM compliance validation was 1998 by a Naval Facilities Engineering Command review of systems.

U.S. Navy

Property Management Function

U.S. Navy Aircraft Engine Management System (AEMS)

Description	The Aircraft Engine Management System (AEMS) provides all echelons of command, current and historical information on the location, operational status and usage of aircraft engines and engine modules for logistics management and analysis purposes. Engine Propulsion system modules are the single most expensive aircraft component, both in terms of unit cost and total dollar expenditure. The sizeable investment and rising cost of ownership requires close management control to shorten out-of-service time and reduce pipeline requirements. The AEMS provides the real-time and historical status of all Engine Propulsion System Modules, facilitating management decisions processes.
Office of Primary Responsibility and Program Manager	<p>The AEMS office of primary responsibility and responsible manager are:</p> <p>Naval Air Systems Command <u>Program Manager</u> Eugene F. Woodburn</p> <p>DSN: 757-8857 Comm: (301) 757-8857 Fax: (301) 342-4250 E-mail: WoodburnEF@navair.navy.mil</p>
System Compliance Status	The AEMS was determined substantially compliant in December 1998 through a Blue Book certification review.
Compliance Validation Date and Method	The target date for the AEMS compliance validation is FY 1999 by a Financial Statement Audit Review by the Naval Audit Service.

U.S. Navy
Aircraft Inventory Readiness and Reporting System (AIRRS)

Description The Aircraft Inventory Readiness and Reporting System (AIRRS) tracks all active Navy aircraft by type, location, condition and tail number.

Office of Primary Responsibility and Program Manager The AIRRS office of primary responsibility and responsible manager are:
Naval Air Systems Command
Program Manager
Ronald St. Pierre

DSN: 757-8928
Comm: (301) 757-8928
Fax: (301) 757-8925
E-mail: StPierreR@navair.navy.mil

System Compliance Status The AIRRS was determined substantially compliant in December 1998 through a Blue Book certification review.

Compliance Validation Date and Method The target date for the AIRRS compliance validation is FY 1999 by a Financial Statement Audit Review by the Naval Audit Service.

**U.S. Navy
Asset Tracking Logistics and Supply System Phase I & II (ATLASS I&II)**

Description The Asset Tracking Logistics and Supply System Phase I (ATLASS I) is a migratory system that was originally designed to replace Supported Activities Supply System (SASSY) (retail legacy supply system). It performs the material management functions of ordering material (classes of supply) and property accountability. It feeds data into SASSY, which is then uploaded into a mainframe process. It tracks inventory of end items by serial number and breaks down into sub-accounts for responsible officer management and accountability. It generates a sub-account known as Consolidated Memorandum Receipts to account for property. It tracks material requirements ordered from intermediate sources of supply or from wholesale. The ATLASS I and the SASSY are scheduled to be consolidated by ATLASS II during FY 02.

Office of Primary Responsibility and Program Manager The ATLASS II office of primary responsibility and responsible manager are:
 Marine Corps Material Command, Legacy Systems Branch (G6)
Program Manager
 Jesse Hunter

 DSN: 567-6282
 Comm: (912) 439-6282
 Fax: (912) 439-6521
 E-mail: HunterJ@ala.usmc.mil

System Compliance Status The compliance status of the ATLASS II has not been determined.

Milestones and Target Dates The following are milestones and target dates for the ATLASS II system:

Milestone	Begin Date	End Date
Consolidate the Asset Tracking Logistics and Supply System Phase I (ATLASS I)		FY 02
Consolidate the Supported Activities Supply System (SASSY)		FY 02

U.S. Navy Conventional Ammunition Integrated Management System (CAIMS)

Description The Conventional Ammunition Integrated Management System (CAIMS) is the single point of reference within the Navy for information regarding the world-wide status of Navy expendable non-nuclear ordnance. The CAIMS provides functionality for supporting the requirements, production, asset tracking, technical data, and expenditures. The data is used to support non-nuclear ordnance inventory management.

**Office of
Primary
Responsibility
and Program
Manager** The CAIMS office of primary responsibility and responsible manager are:
Naval Ordnance Center, Inventory Management and Systems Division
Program Manager
Dr. Lambros Tzerefos

DSN: 430-6537
Comm: (717) 605-6537
Fax: 430-5347
E-mail: tzerefoslp@ssg.navy.mil

**System
Compliance
Status** The CAIMS was determined partially compliant in November 1998 by the following methods:

- management knowledge gained from daily operation of agency programs and systems
- program evaluations
- Blue Book analysis

**General
Deficiencies** The general deficiencies that make the CAIMS partially compliant are the methods used to establish unit prices are not in compliance with financial guidance, however, the current method is in compliance with material management guidance. The fact that ordnance is not depreciated in accordance with financial guidance based on condition codes of the item. Again, methods used are in compliance with Federal material management guidance.

Corrective Actions and Target Dates	The development of the correction plan is pending the OUSD(C) resolving the conflict and guidance between the Department of Defense Material Management Regulation and the Statement of Federal Financial Accounting Standard No. 3. This matter was reported as Recommendation 3 in draft Naval Audit Service Report 99-0105, Department of the Navy Principal Statements for Fiscal Year 1998: Inventory and Related Property, Net dated March 1999.
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Compliance Validation Date and Method	The target validation date for the CAIMS is pending clarification of the guidance by the OUSD(C).
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U.S. Navy Craft and Boat Support System (CBSS)

Description	<p>The Craft and Boat Support System (CBSS) is a management information system that supports life-cycle management of U.S. Navy service craft and boats (with the exception of special warfare boats and 14 ft punts), from initial delivery to strike or disposal. The CBSS provides information on:</p> <ul style="list-style-type: none"> • development, planning, programming, and budgeting of service craft alterations • repair and maintenance cost of specific service craft hulls • condition of service craft inventory • inventory management and control of service craft and boats <p>The CBSS consists of five subsystems that permit initiation, tracking, and reporting on inventory and alteration actions; viewing and updating of a detailed command and support directory; and maintenance of the system itself. The CBSS is accessible to all commands responsible for service craft and boat management, including fleet users.</p>
Office of Primary Responsibility and Program Manager	<p>The CBSS office of primary responsibility and responsible manager are:</p> <p>PEO EXW (PMS325) (NAVSEA) <u>Program Manager</u> Capt. D.R. Kitchin</p> <p>DSN: 332-3507 Comm: (703) 602-3507 Fax: (703) 602-5957 E-mail: kitchindr@navsea.navy.mil</p>
System Compliance Status	<p>The CBSS was determined substantially compliant in March 1999 by the following methods:</p> <ul style="list-style-type: none"> • management knowledge gained from the daily operation of agency programs and systems • review of “A Guide to Federal Requirements for Financial Management Systems”-Chapter 3: Property Plant and Equipment Requirements

Compliance Validation Date and Method	The CBSS compliant status has not yet been validated. September 2000 is the current target date for validation through an independent review.
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U.S. Navy
Marine Corps Artifact Management System (MCAMS)

Description The Marine Corps Artifact Management System (MCAMS) is a custom designed Heritage Asset System based on a Army system and is designed to maintain information on all artifacts, resource provenance, location, catalog, loan agreements, and deed's of gifts. The MCAMS can search all fields for information needed to produce reports and keeps record of all changes made to any field by whom and when.

Office of Primary Responsibility and Program Manager The MCAMS office of primary responsibility and responsible manager are:
HDM-Museum Branch
Program Manager
Jennifer Castro

DSN: 278-2606/7/8
Comm: (703) 784-2606/7/8
Fax: (703) 784-5856
E-mail: castrojl@nt.quantico.usmc.mil

System Compliance Status The compliance status of the MCAMS has not been determined.

Milestones and Target Dates The target date for performing a compliance evaluation is December 1999 by an independent contractor.

U.S. Navy
Metrology Automated System for Uniform Recall and Reporting
(MEASURE)

Description The Metrology Automated System for Uniform Recall and Reporting (MEASURE) tracks all Navy calibration standards equipment by type, location, condition, customer code, model/part number, and serial number.

Office of Primary Responsibility and Program Manager The MEASURE office of primary responsibility and responsible manager are:
Naval Air Systems Command
Program Manager
Thomas R. Hamman

DSN: 757-8843
Comm: (301) 757-8843
Fax: (301) 757-8925
E-mail: HammanTR@navair.navy.mil

System Compliance Status The MEASURE was determined substantially compliant in December 1998 through a Blue Book certification review.

Compliance Validation Date and Method The target date for the MEASURE compliance validation is FY 1999 by a Financial Statement Audit Review by the Naval Audit Service.

U.S. Navy SWFLANT Missile History and Status Report System (MHSRS-LANT)

Description The SWFLANT Missile History and Status Report System (MHSRS-LANT) is used by the Strategic Weapons Facility, Atlantic (SWFLANT) to track fully assembled missiles from initial acceptance by the government through its entire operational life cycle until dropped from inventory. The SWFLANT system is a dBase III locally maintained system written in COBOL, now personal computer based, using a relational database structure on a locally maintained VAX computer. All data is manually entered and the system specifically tracks D5 missile inventories and provides missile quantities and locations at any point in time. More specifically, it provides beginning inventories at the start of the FY, ending inventories at the end of the FY, and changes during the fiscal period. All additions and deletions are fully documented. The system provides quantity data only and is used to determine the quantities provided on the Required Statement of Supplementary Information.

Office of Primary Responsibility and Program Manager The MHSRS-LANT office of primary responsibility and responsible manager are:
 Director, Strategic Systems Programs
Program Manager
 Marvin Hicks, Jr.

DSN: 764-2031
 Comm: (202) 764-2031
 Fax: (202) 764-2104
 E-mail: SP135@ssp.navy.mil

System Compliance Status The MSHRS-LANT was determined substantially compliant in 1997 and 1998 during Chief Finance Officer audits by the GAO and the Naval Audit Service.

Compliance Validation Date and Method The MSHRS-LANT compliance status was validated in 1997 and 1998 during the Chief Finance Officer audits by the GAO and the Naval Audit Service through independent validation and verification.

U.S. Navy SWFPAC Missile History and Status Report System (MHSRS-PAC)

Description This SWFPAC Missile History and Status Report System (MHSRS-PAC) is used by the Strategic Weapons Facility, Pacific (SWFPAC) to track fully assembled missiles from initial acceptance by the government through its entire operational life cycle until dropped from its inventory. The SWFPAC system is an Excel spreadsheet. All data is manually entered and the system does not interface with any other automated programs or systems. The system specifically tracks C4 missile inventories and provides missile quantities and locations at any point in time. More specifically, it provides beginning inventories at the start of the FY, ending inventories at the end of the FY, and changes during the fiscal period. All additions and deletions are fully documented. The system provides quantity data only and is used to determine the quantities provided on the Required Statement of Supplementary Information.

Office of Primary Responsibility and Program Manager The MHSRS-PAC office of primary responsibility and responsible manager are:
 Director, Strategic Systems Programs
Program Manager
 Marvin Hicks, Jr.

DSN: 764-2031
 Comm: (202) 764-2031
 Fax: (202) 764-2104
 E-mail: SP135@ssp.navy.mil

System Compliance Status The MSHRS-PAC was determined substantially compliant in 1997 and 1998 during Chief Finance Officer audits by the GAO and the Naval Audit Service.

Compliance Validation Date and Method The MSHRS-PAC compliance status was validated in 1997 and 1998 during the Chief Finance Officer audits by the GAO and the Naval Audit Service through independent validation and verification.

U.S. Navy Naval Vessel Register (NVR)

Description The Naval Vessel Register (NVR) is the official Navy inventory of ships and service craft. It contains a list of all ships and service craft of the U.S. Navy, including pertinent characteristics and location assignments.

**Office of
Primary
Responsibility
and Program
Manager** The NVR office of primary responsibility and responsible manager are:

Chief of Naval Operations (N430)
Program Manager
John Bissell (NAVSEA 0177)

DSN: 332-5386 x154
Comm: (703) 602-5386
Fax: (703) 602-0522
E-mail: BissellJA@navsea.navy.mil

**System
Compliance
Status** The NVR was determined substantially compliant in 1998 by the following methods:

- management knowledge gained from the daily operation of agency programs and systems
- management review to assess internal controls
- review of system for OMB A-127 requirements

**Compliance
Validation
Date and
Method** The Deputy Assistant Secretary of the Navy SHIPS validated the NVR for system compliance in December 1998.

U.S. Navy Navy Facility Assets Data Base (NFADB)

Description The Navy Facility Assets Data Base (NFADB) is an automated file of data on each existing facility owned or leased by the Navy. The mainframe is located and operated by the Defense Information Systems Agency, Mechanicsburg, Pennsylvania, and is managed by the NITC, Port Hueneme, California. Access and updates are by on-line access terminals located at the Engineering Field Divisions or activities.

Office of Primary Responsibility and Program Manager The NFADB office of primary responsibility and responsible manager are:
Naval Facilities Engineering Command
Program Manager
Joseph P. Martin

DSN: 325-9262
Comm: (202) 685-9262
Fax: (202) 685-1598
E-mail: MartinJP@hq.navfac.navy.mil

System Compliance Status The NFADB was determined non-compliant in April 1999 through management knowledge gained from the daily operation of agency programs and systems.

General Deficiencies The general deficiencies that make the NFADB non-compliant are all accounting type requirements, including depreciation of assets, are not resident in the NFADB.

Corrective Actions and Target Dates The following corrective actions and target dates apply to the NFADB:

Corrective Action	Target Date
Development of Depreciation Model	Sep 99
Link to STARS FDR	Sep 00
Capital Improvements-improvements	Sep 99
Improvements to Internal Controls	Sep 99/00
Valuation Model	Sep 99
Link to FIS & NFADB	Sep 00/01

Resource Requirements The following are estimated resource requirements required to correct the general deficiencies of the NFADB. All dollar amounts are listed in millions.

FY 99	FY 00	FY 01	FY 02	FY 03	Cumulative
\$1.0	\$2.0	\$0	\$0	\$0	\$3.0

The following are estimated staffing requirements for the NFADB system through FY 2003. Staffing requirements are the number of full-time equivalents including in-house and contractor staff.

	FY 99	FY 00	FY 01	FY 02	FY 03
Total	10	10			
In-house	0	0			
Contractor	10	10			

Compliance Validation Date and Method The target validation date for the NFADB is September 1999 by working with Agency Auditors to make the system compliant.

U.S. Navy Support Equipment Resources Management Information System (SERMIS)

Description The Support Equipment Resources Management Information System (SERMIS) is the computer based management information system supporting the Aircraft Maintenance Material Readiness List Program. The Aircraft Maintenance Material Readiness List Program is a set of policies and procedures governing aviation maintenance Support Equipment (SE) management and detailing the kind and quantity of given SE an activity requires to maintain the operational readiness of an aeronautical system, support system, subsystem, or end item of equipment (SE for SE) for its intended mission environment. The majority of in-use SE assets are controlled by the Support Equipment Controlling Authorities, normally a Type Commander such as COMNAVIRLANT, and used by organizational, intermediate, and depot level maintenance activities assigned to them to perform aircraft maintenance. The SERMIS maintains the data necessary for effective aircraft support equipment asset management. It provides formal SE allowance computation, depot level rework tracking, transaction (transfer and receipt) reporting, accounting, queries and reports of activity, allowance, and inventory data.

Office of Primary Responsibility and Program Manager The SERMIS office of primary responsibility and responsible manager are:
 Naval Air Systems Command
Program Manager
 Mitchell L. Furr

DSN: 757-6854
 Comm: (301) 757-6854
 Fax: (301) 757-6862
 E-mail: FurrML@navair.navy.mil

System Compliance Status The SERMIS was determined substantially compliant in December 1998 through a Blue Book certification review.

Compliance Validation Date and Method The target date for the SERMIS compliance validation is FY 1999 by a Financial Statement Audit Review by the Naval Audit Service.

U.S. Navy

Inventory Management Function

U.S. Navy Industrial Logistics Support Management Information System (ILSMIS)

Description The Industrial Logistics Support Management Information System (ILSMIS) is a material management system. The ILSMIS provides material management functions from requisitioning through receipt, delivery, storage, and inventory.

Office of Primary Responsibility and Program Manager The ILSMIS office of primary responsibility and responsible manager are:

NAVSEA 001
Program Manager
 Richard Pritchett

DSN: 332-8738 ext. 200
 Comm: (703) 602-8738 ext. 200
 Fax: (703) 602-8744
 E-mail: PritchettRA@navsea.navy.mil

System Compliance Status The ILSMIS was determined substantially compliant in August 1998 by the following methods:

- management knowledge gained from the daily operation of agency programs and systems
- program evaluations
- management review to assess internal controls
- review of system OMB A-127 requirements

Compliance Validation Date and Method The target validation date for the ILSMIS is April 2000 by an Independent Validation and Verification.

U.S. Navy
Uniform Automated Data Processing System - Inventory Control Point B04
(UADPS-ICP B04)

Description The Uniform Automated Data Processing System - Inventory Control Point (UADPS-ICP B04) transactions inventory system's principal purposes are operating Transaction Item Reporting, (B04) maintaining the Navy Inventory Control Point wholesale Inventory Control Point inventory records for all Navy Inventory Control Point managed items, maintaining asset records for designated cyclic retail reporting activities, and recording a history of all transactions affecting these inventories. The UADPS-ICP B04 automates transactional functions in a series of several large and small program strings. These functions include:

- daily transaction processing
- transaction history file
- local demand processing

**Office of
Primary
Responsibility
and Program
Manager**

The UADPS-ICP B04 office of primary responsibility and responsible manager are:

Naval Supply Systems Command (NAVSUP)
Program Manager
 Mike Morra

DSN: 430-7510
 Comm: (717) 605-7510
 Fax: (717) 605-6903
 E-mail: [Michael J Morra@navsup.navy.mil](mailto:Michael.J.Morra@navsup.navy.mil)

**System
Compliance
Status**

The UADPS-ICP B04 was determined partially compliant in November 1998 through program evaluations.

**General
Deficiencies**

The following are general deficiencies that make the UADPS-ICP B04 partially compliant:

- timely submission of data
 - detailed transactions
-

**Corrective
Actions and
Target Dates**

Certain functions within the UADPS-ICP B04 applications will be enhanced with more efficient technology featuring better information brokering and improved data warehousing, all in support of a “one touch” supply management system for the 21st century. The Material Financial Management System will provide Navy Inventory Control Point improved compliance with Federal financial management systems requirements. Such requirements include, but are not limited to, a standard General Ledger, write-off capability of Accounts Receivable/Payable, Material In Transit, and Evaluation of Obligations. The following are corrective actions and target dates for the UADPS-ICP B04 to correct the above deficiencies:

Corrective Action	Target Date
Timely Submission of Data	Nov 99
Detailed Transactions	Nov 99

**Compliance
Validation
Date and
Method**

The target date for validating substantial compliance of the UADPS-ICP B04 is November 1999, by working with Agency Auditors to make the system compliant.

U.S. Navy
Uniform Automated Data Processing System–
Stock Point Applications B, C, H, I (UADPS-SP)

- Description** The Uniform Automated Data Processing System-Stock Point Applications B, C, H, I (UADPS-SP) inventory system is comprised of four applications:
- **Application B** (Stored Receipts) – This operation encompasses the storing of material, making inventory and financial adjustments, and initiating release of deferred issues and backorders. Additionally, special processing is provided for Fleet Ballistic Missile items and items under the Fixed Allowance Management/Monitoring System.
 - **Application C** (Alternate Application Processing) – This operation uses the Alternate NIIN File to determine if a totally interchangeable item exists to fill a demand document. If such an item exists, issue action is taken. If no such item exists, the program determines what alternate action is to be taken.
 - **Application H** (Transaction Reporting) – This predominant form of management information is embodied in the daily Transaction Item Reports of receipt, issue, and adjustment transactions for transmission to central managers to assist them in maintaining control of system stocks. In the case of Navy managers, an Asset Status Card showing the closing on-hand balance for the day is also transmitted.
 - **Application I** (Physical Inventory-Scheduled) – Scheduled inventories are conducted in accordance with the Navy Supply Systems Command policy for the purpose of confirming the accuracy of stock records relative to actual material on-hand.

**Office of
Primary
Responsibility
and Program
Manager**

The UADPS-SP office of primary responsibility and responsible manager are:

Logistics System Development/Maintenance Branch (Code 4C2)

Program Manager

Andre St. Omer Roy

DSN: 430-4158

Comm: (717) 605-4158

Fax: (717) 605-6903

E-mail: [Andre I St Omer Roy@navsup.navy.mil](mailto:Andre_I_St_Omer_Roy@navsup.navy.mil)

System Compliance Status The UADPS-SP was determined partially compliant in November 1998 through program evaluations.

General Deficiencies The following are general deficiencies that make the UADPS-SP partially compliant:

- timely submission of data
- detailed transactions

Corrective Actions and Target Dates The UADPS-SP is a transaction-based application for monitoring stock level at all points. Discrete transactions and standard documents are fed directly into the Material Financial Control System (MFCS). The compliance level of the UADPS-SP is judged to be similar to the MFCS. The MFCS will provide Navy Control Points improved compliance with Federal financial management requirements (CFO standards). Such requirements include, but are not limited to, a standard General Ledger, write-off capability of Accounts Receivable/Payable, Material In Transit, and Revaluation of Obligations. The following are corrective actions and target dates for the UADPS-SP to correct the above deficiencies:

Corrective Action	Target Date
Timely Submission of Data	Nov 99
Detailed Transactions	Nov 99

Compliance Validation Date and Method The target validation date for the UADPS-SP is November 1999 by working with Agency Auditors to make the system compliant.